. Applications for Recognition of Overseas Approval organizations

This document is prepared by the Building Guidance Division, Housing Bureau, Ministry of Land, Infrastructure and Transport for the reference of applicants who intend to apply for recognition as overseas approval organizations.

In order to apply for recognition as an overseas approval organization, etc., it is recommended that applicants refer to the original relevant provisions of the Building Standard Law, etc.

1. Type-approval System

The Building Standard Law stipulates that building confirmations and inspections to ascertain whether buildings comply with the Building Standard Law must be performed by building officials appointed by a special administrative agency (local government organization), etc. Building confirmations and inspections are carried out on individual building construction projects. However, there are also a Type-approval System and a Certification System of Specific-type Component Manufacturers. The purpose of these systems is to rationalize building confirmation and inspection procedures when building equipment of an identical type are mass-produced, or when multiple buildings are constructed using the same construction method based on standard specifications.

With type-approval, a type of building material or building part is approved in advance as meeting a certain building standard (Article 68-10 of the Law, "Type-approval"). During building confirmations or inspections for individual buildings, building parts, etc., that have already obtained type-approvals are inspected only to ensure that they are consistent with the type-approvals granted.

Certification of specific-type component manufacturers is given to manufacturers, etc., of building parts, etc., for which type-approval has been granted (i.e., type-approved building components). This approval (Article 68-11 of the Law, "Certification of Specific-type Component Manufacturer") certifies that a manufacturer has been certified as an entity capable of producing that type of components in accordance with the approved type specification under appropriate quality control systems (i.e., certified specific-type component manufacturer). When specific-type components produced by a certified specific-type component manufacturer bears specified labels, etc., indicating as such, examinations will be omitted and are not required to submit structural details at the time of building confirmation applications and inspections.

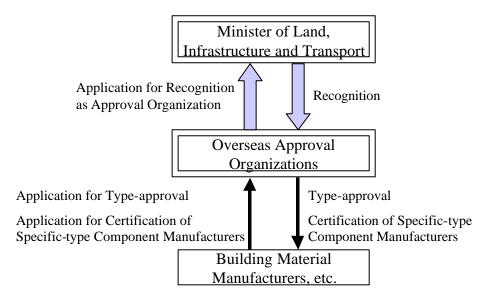
A party that manufactures a specific-type component in a foreign country for export to Japan an be certified as an overseas specific-type component manufacturer. In this case, requirements applied to Japanese manufacturers shall be applied mutatis mutandis to overseas manufacturers. (Article 68-23 of the Law, "Certification of Overseas specific-type Component Manufacturer")

2. Approval organizations

A approval organization may be a approval organization in Japan designated by the Minister of Land, Infrastructure and Transport (Article 77-36 of the Law), or <u>an overseas approval organization recognized by the Minister of Land, Infrastructure and Transport</u> (Article 77-54 of the Law). Both such organizations carry out reviews and inspections at the request of

manufacturers, etc., and to issue Type-Approvals and Certifications of Specific-type Component Manufacturers.

Approval, etc. services are provided by designated or recognized organizations, such as public testing agencies or private-sector testing and evaluation service companies. In order to ensure neutrality and fairness, specific limits are placed on the additional activities, etc., of directors and employees of approval organizations.



3. Provisions of the Law and Regulations Concerning Recognized Approval organizations

The provisions relating to recognized approval organizations are stipulated in the Building Standard Law (the Law), the Building Standard Law Enforcement Order (the Cabinet Order), the Building Standard Law Enforcement Regulation (the Enforcement Regulation), and the Ministry of Land, Infrastructure and Transport Order Concerning Designated Qualifying Examination Organizations and others under the Building Standard Law (the Ministerial Ordinance).

Basically, the Law stipulates the criteria of recognition and obligations of approval organizations, etc., while the Cabinet Order defines the term of validity of recognition, etc., and the Enforcement Regulation stipulates fee schedules, etc. The Ministerial Ordinance provides detailed regulations for the operations of approval organizations.

Provisions Concerning Recognized Approval organizations

Relevant Articles	Provisions
Article 68-10 of the Law	Type-approval
Article 68-11 of the Law	Certification of Specific-type Component
	Manufacturer
Article 68-23 of the Law	Certification of Overseas specific-type
	Component Manufacturer
Article 68-25of the Law	Approval, Etc. by a Designated Approval
	Organization

Relevant Articles	Provisions	
Article 77-54 Paragraph 1 of the Law	Recognition (Grounds for application for recognition)	
Article 77-54 Paragraph 2 of the Law	(Grounds for the following provisions as applied,	
	mutatis mutandis, to recognized approval	
	organizations)	
Article 77-36 Paragraph 2 of the Law	Designation (Service categories and areas)	
Article 77-37 of the Law	Disqualification	
Article 77-38 of the Law	Designation criteria	
Article 77-39 Paragraph 1 of the Law	Public Notice of designation, etc. (name and address,	
	service category and area, etc., of organization)	
Article 77-41 of the Law	Renewal of designation	
Article 77-22 of the Law	Changes in service areas	
Article 77-34 of the Law	Suspension/termination, etc., of services	
Article 77-39 Paragraph 2 of the Law	Public Notice of Designations, etc. (Notification of	
	change of name, address, etc.)	
Article 77-39 Paragraph 3 of the Law	Public Notice of Designations, etc. (Public notice of	
	change of name, address, etc.)	
Article 77-42 of the Law	Approvers	
Article 77-44 of the Law	Obligations of approval, etc.	
Article 77-45 of the Law	Regulations for approval services, etc.	
Article 77-46 Paragraph 1 of the Law	Reports to the Minister of Land, Infrastructure and	
	Transport, etc. (Reports)	
Article 77-47 of the Law	Bookkeeping, etc.	
Article 77-48 of the Law	Orders for supervision	
Article 77-49 of the Law	Reports, inspections, etc.	
Article 77-46 Paragraph 2 of the Law	Reports to the Minister of Land, Infrastructure and	
	Transport, etc. (Notification from the Minister of	
	Land, Infrastructure and Transport in case the type	
	approved does not conform to the Building Standard	
Article 77-55 of the Law	Law, etc.)	
	Revocation of recognition, etc.	
Article 136-2-13 of the Cabinet Order	Term of validity of designation, etc., of designated	
Article 136-2-14 of the Cabinet Order	approval organizations, etc. Payment of costs pertaining to inspections conducted	
Article 130-2-14 of the Cabinet Order	on the premises of recognized approval organizations,	
	etc.	
Article 11-2-3 of the Enforcement		
Regulation Regulation	Amount of fees (for Type-Approval, Certification of	
	Specific-type Component Manufacturer, etc.)	
Article 47 of the Ministerial Ordinance	Application for recognition as a recognized approval	
Article 48 of the Ministerial Ordinance	organization Notification of change to name at a of a recognized	
Article 46 of the Millisterial Ordinance	Notification of change to name, etc., of a recognized	
Article 49 of the Ministerial Ordinance	approval organization Application for authorization for change expansion)	
Article 47 of the Ministerial Ordinance	of service area of a recognized approval organization	
Article 50 of the Ministerial Ordinance	Notification of change (reduction) of service area of a	
Trucio 50 of the Ministerial Ordinance	recognized approval organization	
Article 51 of the Ministerial Ordinance	Notification of appointment or dismissal of approvers	
Article 51 of the Ministerial Ordinance Article 52 of the Ministerial Ordinance	Application for authorization of approval, etc. service	
That 52 of the Ministerial Ordinance	regulations	
Article 53 of the Ministerial Ordinance	Notification of suspension/termination of services of a	
That of the Himsterial Ordinance	recognized approval organization	
Article 54 of the Ministerial Ordinance	Value of travel expenses	
	· · · · · · · · · · · · · · · · · · ·	

Relevant Articles	Provisions	
Article 55 of the Ministerial Ordinance	Address of the resident government office (used as	
	base point for travel expense calculations)	
Article 56 of the Ministerial Ordinance	Details of the calculation of value of travel expenses	
Article 57 of the Ministerial Ordinance	Provisions applied mutatis mutandis (Grounds for the	
	following provisions as applied, mutatis mutandis, to	
	recognized approval organizations)	
Article 33 of the Ministerial Ordinance	Category of the designation of designated approval	
	organizations	
Article 36 of the Ministerial Ordinance	Renewal of designation of a designated approval	
	organization	
Article 37 of the Ministerial Ordinance	Methods of approval, etc.	
Article 38 of the Ministerial Ordinance	Requirements for approvers	
Article 41 of the Ministerial Ordinance	Items specified in service regulations	
Article 42 of the Ministerial Ordinance	Reporting on approvals, etc. by a designated approval	
	organization	
Article 43 of the Ministerial Ordinance	Books	
Article 44 of the Ministerial Ordinance	Retention of documents	

3.1 Recognition Applications (Article 77-54 Paragraph 1 of the Law, Article 47 of the Ministerial Ordinance)

An organization seeking recognition shall submit an application to the Minister of Land, Infrastructure and Transport (Building Guidance Division, Housing Bureau, Ministry of Land, Infrastructure and Transport), with the required supporting documents attached, as stipulated in Article 47 of the Ministerial Ordinance. There are no charges, etc., for recognition applications.

3.2 Service Categories and Areas (Article 77-36 Paragraph 2 of the Law applied mutatis mutandis to Article 77-54 Paragraph 2 of the Law, Article 33 of the Ministerial Ordinance applied mutatis mutandis to Article 57 of the Ministerial Ordinance)

When making an application, the organization seeking recognition shall select the category in which it will provide actions [Type-approval, Certification of Specific-type Component Manufacturer, etc. (Approval, etc.)] and the classification of building parts, etc. from those stipulated in Article 33 of the Ministerial Ordinance.

The service area (the country or region, etc., in which the building material and building part manufacturers, etc., for which the applicant will provide approval, etc. services are located) shall also be stipulated in the application.

3.3 Disqualification (Article 77-37 of the Law applied mutatis mutandis to Article 77-54 Paragraph 2 of the Law, Article 47 Item (3) of the Ministerial Ordinance)

In the case of a corporation, for example, recognition will not be granted if any of the directors of the organization seeking recognition is a ward of court, etc., a bankrupt party that is unable to be reinstated, a person sentenced to a punishment of imprisonment or greater or penalized for violating the building law and regulations who has completed his term within the past two years, or a person whose recognition was revoked and two full years have not yet elapsed from the date of revocation.

Recognition applications shall be accompanied by documentary evidence that no directors fall into the above categories (Article 72 Item (3) of the Ministerial Ordinance).

3.4 Recognition Criteria (Article 77-38 of the Law applied mutatis mutandis to Article 77-54 Paragraph 2 of the Law)

The Minister of Land, Infrastructure and Transport shall not grant recognitions unless it is concluded that an application for the recognition conforms to the following criteria stated in each item of Article 77-38 of the Law applied mutatis mutandis to Article 77-54 Paragraph 2 of the Law.

Item (1):

Item (1) defines criteria relating to the appropriateness of aspects of business operation plans, such as the number and deployment of employees, service structure, offices and facilities. The main requirements that shall be met are as follows:

- ① Approval, etc. shall be carried out in a department that is independent from departments providing other services (excluding services relating to building confirmation/inspections), and there shall be a director in charge.
- ② Persons who are employed in the following restricted areas of business shall not be appointed as approvers. A team of at least two approvers shall carry out each approval, etc. task (Article 37 of the Ministerial Ordinance).
 - A. Design and superintendence (including construction work contracting, supervision of construction work, agency business and consultation, but excluding survey and evaluation of buildings)
 - B. Construction (excluding activities that do not relate to buildings and their sites, such as dredging, landscaping and well-digging)
 - C. Real estate (including the brokerage of land and buildings, the real estate agency and intermediation business, real estate leasing, and real estate management)
 - D. The manufacture, supply and distribution of building materials and facilities
- ② Persons other than employees of the organization shall not be allowed to participate in approval, etc. as assistants. Work carried out by assistants shall be limited to support tasks. It is acceptable for assistants also to be employed in restricted areas of business.
- Approval, etc. shall not be carried out in respect of buildings and building facilities, etc., if individuals, companies or organizations, etc., associated with representatives or directors in charge of approval, etc. have been involved in design, superintendence, construction or manufacture, etc.
- S Approvers and assistants shall not be engaged in approval, etc. services relating to buildings and building facilities, etc., if individuals, companies or organizations, etc., with which they are associated have been involved in design, superintendence, construction or manufacture, etc.

Item (2):

Item (2) defines criteria for basic financial soundness. They are used as the basis for judging such matters as whether an organization has stable management and has the resources to meet liability claims. The main requirements that shall be met are as follows:

- ① The size of the budget shall be appropriate.
- ② The business activities and budget shall be balanced.

- The organization shall have basic assets, capital and funds, etc. (hereinafter referred to as "basic assets, etc.") equivalent to about one-tenth of annual spending on approval, etc. services.
- The organization shall have basic assets, etc., of at least 100 million yen. Proviso: This requirement will not apply if the organization has indemnity insurance (provided that the coverage is at least 100 million yen, and that there are no exclusions for damage that is clearly the result of earthquakes or other natural disasters) for its approval, etc. services.

Item (3):

Item (3) sets specific limits on the additional activities, etc., of directors and employees of approval organizations in order to ensure neutrality and fairness. It is necessary to limit the proportion of persons who are also involved in such activities as design, superintendence, construction and the manufacture of equipment in order to eliminate the potential for influence on the performance of the organization's service and its decisions. The main requirements that shall be met are as follows:

① Corresponding to the relevant categories of Japanese corporations listed in the following table, the proportion of the persons subject to restrictions who are engaged in restricted business activities (excluding minor involvement) or belong to corporations engaged in such restricted business activities shall, in principle, be less than one-half. Proviso: The limit will be less than two-thirds if a supervisory committee has been established. In such cases, an individual engaged in restricted business activities or persons employed in any one corporation engaged in restricted business activities shall not make up more than one-half of the total.

Type of corporation	Persons subject to restrictions
Foundation	Councilors and directors
Corporation	Directors and members
Unlimited partnership	Employees
Limited partnership	Unlimited liability employees
Joint-stock company	Directors
Limited company	Directors
Association	Trustees and associates

Number of directors, board members involved in	restricted business activities 1	
Total number of directors, etc.		

Proviso: The limit can be increased to two-thirds if a supervisory committee has been established. However, the number of directors, etc., who are engaged in restricted business activities in any one company shall not make up more than one-half of the total number of directors, etc.

② If the organization is equivalent to a Japanese joint-stock company, etc., the aggregate number of shares held by persons engaged in restricted business activities or corporations engaged in restricted business activities shall, in principle, be less than one-half of the total number of shares issued and outstanding. Proviso: The limit may be increased to two-thirds if a supervisory committee has been established. In such cases, aggregate number of shares held by an individual engaged in restricted business activities or by persons employed in any one

corporation engaged in restricted business activities shall not make up more than one-half of the total number of shares issued and outstanding.

 $\frac{\text{Number of shares held by persons involved in restricted business activities}}{\text{Total number of shares issued and outstandin g}} < \frac{1}{2}$

Proviso: The limit can be increased to two-thirds if a supervisory committee has been established. However, the number of shares held by a company engaged in restricted business activities shall not make up more than one-half of the total number of shares issued and outstanding.

The supervisory committee shall consist of persons recommended by a bar association and a consumer organization, academic experts on architecture, and administrative supervisors or auditors of the company or organization concerned. The activities of the committee will include deliberations on approval, etc. service regulations, supervision of services, etc. The supervisory committee shall carry out quarterly audits and upon completion of each audit shall submit reports to the Minister of Land, Infrastructure and Transport.

Item (4):

Item (4) stipulates restrictions concerning additional activities of the organization itself. This is because of the potential risk affecting neutrality and fairness if a recognized approval organization is also operating as a design office or construction company. For this reason, additional activities shall not include such restricted business activities as design, superintendence and construction.

Item (5):

The provisions of Item (5) are used to judge whether there are issues that affect the suitability of organizations even though they meet the criteria in Items (1) through (4). Specific judgments are made in relation to each individual organization.

3.5 Public Notice of Recognition, Notification of Changes, etc. (Articles 77-39 and 77-22 of the Law applied mutatis mutandis to Article 77-54 Paragraph 2 of the Law, Articles 48, 49 and 50 of the Ministerial Ordinance)

When recognition is given, the Minister of Land, Infrastructure and Transport will give public notice of the name and address of the recognized approval organization, the recognition category, the service area, the location of the office at which services will be provided, and the service commencement date.

The recognized approval organization shall notify the Minister of Land, Infrastructure and Transport no later than two weeks in advance of any intended change of name, address or office location. Authorization by the Minister of Land, Infrastructure and Transport is required in order to expand the service area. Notification to the Minister of Land, Infrastructure and Transport is required in order to reduce the service area.

3.6 Renewal of Recognition (Article 77-41 of the Law, Article 36 of the Ministerial Ordinance applied mutatis mutandis to Article 57 of the Ministerial Ordinance)

Recognized approval organizations shall apply for renewal of recognition every five years. The provisions for new applications are applied, mutatis mutandis, when renewing Recognitions.

3.7 Appointment of Approvers, etc. (Article 77-42 of the Law applied mutatis mutandis to Article 77-54 Paragraph 2 of the Law, Articles 51 of the Ministerial Ordinance, Articles 38 of the Ministerial Ordinance applied mutatis mutandis to Article 57 of the Ministerial Ordinance)

Recognized approval organizations shall appoint approvers to carry out approval, etc. who meet the following requirements stipulated in Article 38 of the Ministerial Ordinance:

In the case of type-approval:

- A. A person who serves or has served as a professor or assistant professor of architecture, mechanical engineering, electric science, sanitary science, or other academic subject similar or related to the approval, etc. in a university operated under the School Education Act or an equivalent foreign educational institution.
- B. A person who is a staff member or a former staff member of a research/testing institute related to the approval, etc. such as architecture, machinery, electricity, sanitation, and who has advanced specialized knowledge concerning the fields concerned
- C. A person who has passed a qualified examination for building regulation conformity inspectors and has at least three (3) years of practical experiences as a person responsible for the examination or inspection of buildings, etc.
- D. A person who is authorized by the Minister of Land, Infrastructure and Transport as a person with knowledge and experience equal or superior to that mentioned in the preceding three items.

In the case of certification of specific-type component manufacturer:

- A. A person coming under one of the items A to C in the preceding item.
- B. A person with at least five (5) years of practical work experience as a person responsible for the manufacture, inspection, and quality control of building materials or building parts.
- C. A person who is authorized by the Minister of Land, Infrastructure and Transport as a person with knowledge and experience equal or superior to that mentioned in the preceding two items.

When " -D A person requiring authorization by the Minister of Land, Infrastructure and Transport as a person with knowledge and experience equal or superior to that mentioned in the preceding three items" or " -C a person requiring authorization by the Minister of Land, Infrastructure and Transport as a person with knowledge and experience equal or superior to that mentioned in the preceding two items" is appointed, an application for authorization by the Minister of Land, Infrastructure and Transport shall be made at the time of the initial application, or when a person is appointed. Supporting documents showing the curriculum vitae of the candidate and other information shall be attached to this application.

The Minister of Land, Infrastructure and Transport shall be notified if a recognized approval organization appoints or dismisses an approver.

If an approver infringes the approval service regulations or does not meet the criteria stipulated in Article 77-38 Item (3) (restrictions on additional activities) of the Law, the Minister of Land, Infrastructure and Transport can direct the organization to dismiss that approver.

3.8 Obligations of Recognition, etc. (Article 77-44 of the Law applied mutatis mutandis to Article 77-54 Paragraph 2 of the Law)

A recognized approval organization shall carry out a approval, etc. if requested to do so, unless there is a justifiable reason not to do so.

3.9 Approval, etc. Service Regulations (Articles 77-45 of the Law applied mutatis mutandis to Article 77-54 Paragraph 2 of the Law, Articles 77, 41 and 37 of the Ministerial Ordinance)

A recognized approval organization shall establish approval, etc. service regulations stipulating necessary procedures which shall be authorized by the Minister of Land, Infrastructure and Transport.

Article 41 of the Ministerial Ordinance stipulates that the items stipulated in the service regulations should include business hours, the service area, the scope of services, the methods used to carry out evaluation services, proper payment methods for fees, the appointment and dismissal of approvers, the service provision systems, etc.

If the service regulations are deemed inappropriate, the Minister of Land, Infrastructure and Transport may direct the organization to amend their content.

3.10 Reports to the Minister of Land, Infrastructure and Transport, etc. (Article 77-46of the Law applied mutatis mutandis to Article 77-54 Paragraph 2 of the Law, Articles 42 of the Ministerial Ordinance mutatis mutandis to Article 57 of the Ministerial Ordinance)

When a recognized approval organization has performed approval work, etc., it shall submit a report with a certification or a copy of certification to the Minister of Land, Infrastructure and Transport.

When the Minister of Land, Infrastructure and Transport has received the report and has confirmed . that the type approved by a recognized approval organization does not conform to the Building Standard Law, etc., it must inform the approved manufacturer and the recognized approval organization of this fact. In this case, the said type approval shall become invalid.

3.11 Provision, etc., of Books Keeping (Article 77-47 of the Law applied mutatis mutandis to Article 77-54 Paragraph 2 of the Law, Articles 43 and 44 of the Ministerial Ordinance applied mutatis mutandis to Article 57 of the Ministerial Ordinance)

An approved approval organization is required to provide books for record-keeping purposes as stated in Article 43 of the Ministerial Ordinance. These shall be retained until the complete termination of approval, etc. services, etc.

3.12 Requests Necessary for Supervision (Articles 77-48 of the Law applied mutatis mutandis to Article 77-54 Paragraph 2 of the Law)

The Ministry of Land, Infrastructure and Transport may give requests necessary for supervision to a recognized approval organization when the Minister deems its approval, etc. services are not appropriately operated.

3.13 Reports, Inspections, etc. (Articles 77-49 of the Law applied mutatis mutandis to Article 77-54 Paragraph 2 of the Law, Article 77-55 Paragraph 3 of the Law, Article 136-2-14 of the Cabinet Order)

The Minister of Land, Infrastructure and Transport may require an recognized approval organization to provide reports and may carry out on-site inspections, etc., as deemed necessary to ensure that services are being provided fairly and appropriately.

The recognized approval organization will be responsible for travel expenses (for two persons) incurred for such on-site inspections.

3.14 Suspension/Termination, etc., of Services (Article 77-34 of the Law applied mutatis mutandis to Article 77-54 Paragraph 2 of the Law, Article 53 of the Ministerial Ordinance)

If a recognized approval organization intends to suspend or terminate all or part of its approval, etc. services, it shall notify the Minister of Land, Infrastructure and Transport in advance. In case of notification, public notice will be given to that effect.

3.15 Revocation, etc., of Recognition (Article 77-55 of the Law)

The Minister of Land, Infrastructure and Transport shall revoke the recognition of a recognized approval organization when it comes under the disqualification provisions of Article 77-37 of the Law.

Recognition may be revoked or services suspended under various circumstances, such as if a recognized approval organization fails to submit required notifications or authorization applications to the Minister of Land, Infrastructure and Transport, or carries out approval, etc. that do not conform to the approval, etc. service regulations, or fails to comply with directives of the Minister of Land, Infrastructure and Transport, or no longer meets the recognition criteria, or engages in extremely inappropriate actions during the course of providing services, or has obtained recognition by dishonest means.

3.16 Approval, etc. Fees (Article 11-2-3 Paragraph 5 and 6 of the Enforcement Regulation)

The Ministry of Land, Infrastructure and Transport sets the fees that designated approval organizations in Japan receive from applicants seeking approval, etc. Because commodity price levels and other factors vary from country to country, recognized approval organizations overseas are required to submit cost breakdowns such as cost for labor, administrative and other information to the Minister of Land, Infrastructure and Transport and obtain authorization for their fees.

4. Documents Required for Recognition Applications

Recognition applications shall be accompanied by the supporting documents listed in Items 1 through 3 of the following table.

The application forms and attached documents should be made in Japanese in principle, but the followings can be written in English:

name and address of the applicant organization and personnel, the attached documents with * in the following table.

5. Standard Time required for Recognition Procedure

Standard time required for recognition procedure will be three (3) months in principle.

Documents to Be Submitted with Initial Applications

Documents required	Ground provisions	
1. Application for Recognition as Recognized	Article 47 of the Ministerial Ordinance	
Approval Organization (Form 21)		
(Attached documents)		
(1) Articles of association or bylaw, etc.	Article 47 (1) of the Ministerial Ordinance	
(2) Asset inventory, etc.(*)	Article 47 (2) of the Ministerial Ordinance	
(3) Document clarifying that the applicant does	Article 47 (3) of the Ministerial Ordinance	
not come under disqualification provisions		
	Article 47 (4) of the Ministerial Ordinance	
	(Article 32 applied mutatis mutandis)	
(4) Business plan/income and expenditure budget	Article 32 (3) of the Ministerial Ordinance	
(* for expenditure budget)	(-,	
(5) Documents stipulating decision-making	Article 32 (4) of the Ministerial Ordinance	
concerning application	(,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
(6) Documents listing names and CVs of directors,	Article 32 (5) of the Ministerial Ordinance	
etc. (in the case of a corporation) (*)	(-,	
(7) Documents describing items concerning the	Article 32 (6) of the Ministerial Ordinance	
organization and management	,	
(8) Documents showing address of the office(*)	Article 32 (7) of the Ministerial Ordinance	
(9) Documents listing the name, address, numbers	Article 32 (10) of the Ministerial	
of shares, etc., of shareholders who own 5% or	Ordinance	
more of the total issued shares, etc. (in the case of		
a corporation) (*)		
(10) Documents listing names and CVs of	Article 32(11) of the Ministerial	
approvers (*) (**Note)	Ordinance	
(11) Documents summarizing business now	Article 32 (12) of the Ministerial	
operated	Ordinance	
(12) Documents describing approval, etc. service	Article 32(13) of the Ministerial	
implementation plan	Ordinance	
(13) Documents describing other reference items	Article 32 (14) of the Ministerial	
	Ordinance	
2. Application for Recognition of Approval, etc.	Article 52 of the Ministerial Ordinance	
Service Regulations (Form 41)		
(Attached documents)		
Approval, etc. service regulations	Article 77 of the Ministerial Ordinance	
3. Application for Recognition of Approval, etc. Fee	Article 11-2-3 Paragraph 6 of the	
Schedules	Enforcement Regulation	
Documents describing the following items	-	
(1) Fees for each service category	Article 11-2-3 Paragraph 6 (1) of the	
	Enforcement Regulation	
(2) Labor, administrative and other costs per case	Article 11-2-3 Paragraph 6 (2) of the	
	Enforcement Regulation	
(3) Travel expenses, daily allowances and	Article 11-2-3 Paragraph 6 (3) of the	
accommodation expenses	Enforcement Regulation	
(4) Other necessary items	Article 11-2-3 Paragraph 6 (4) of the	
	Enforcement Regulation	
<u> </u>	-	

^{(**}Note) If the approvers require ministerial authorization under Article 38 Item (1)D or Item(2)C, documents demonstrating that the knowledge and experience of the approver are equivalent to or superior to Items (1)A,B or C or (2) A or B are respectively required.